



VERNON R. RICE §  
OF COUNSEL

## INTELLECTUAL PROPERTY ATTORNEYS

Via E-File

PATENT APPLICATION  
Docket No. 15689.58

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Keizaburo Sasaki et al.

Serial No.:

09/667,084

) Art Unit  
) 2171

Filed:

September 21, 2000

For:

# DATA CONVERSION APPARATUS, SIGNAL, DATA CONVERSION METHOD, DCE, GATEWAY AND COMMUNICATION APPARATUS

Confirmation No.:

9022

Examiner:

Steven Blount

Customer No.:

022913

## TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

Statement of relevance of selected cited references not in the English language which are not translated.

\* Admitted only in California  
§ Admitted only in Virginia

☐ Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.

☐ Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

☒ Form PTO/SB/08a listing nine (9) references submitted for consideration.

☒ Copies of nine (9) of the references listed on the Form PTO/SB/08a.

☒ English translations of nine (9) of the references listed on the Form PTO/SB/08a which are not in the English language.

☐ Copies of the following documents from the prosecution of a previous, related application:

☐ Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT;  
and

☐ Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

I. ☐ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

II. ☒ Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office

Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:

\_\_\_ Promptness Certification; or

X Payment in the amount of \$180.00 using the Credit Card payment option in E-File with RAM constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

III. \_\_\_ After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

\_\_\_ Promptness Certificate;

\_\_\_ Petition for Consideration; and

\_\_\_ Check No. in the amount of \$\_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. \_\_\_ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

\_\_\_ Petition to Withdraw from Issue; and

\_\_\_ Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

\_\_\_ The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).

\_\_\_\_\_ The submission fee set forth in 37 C.F.R. § 1.17(p).

\_\_\_\_\_ The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 20th day of April 2007.

Respectfully submitted,

/Adrian J. Lee/

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

ADJ:kdj  
Enclosures  
KDJ0000000249V001